

## (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau(43) International Publication Date  
26 April 2001 (26.04.2001)

PCT

(10) International Publication Number  
WO 01/28999 A1(51) International Patent Classification<sup>7</sup>: C07D 207/34

Budapest (HU). BARTHA, Ferenc [HU/HU]; Kabay u. 3-5/5, H-4440 Tiszavasvári (HU). VERECZKEYNÉ DONÁTH, Györgyi [HU/HU]; Lajos u. 49/b, H-1036 Budapest (HU). NAGY, Kálmán [HU/HU]; Turista u. 2/u, H-1025 Budapest (HU).

(21) International Application Number: PCT/HU00/00106

(74) Agent: ADVOPATENT OFFICE OF PATENTS AND TRADEMARK ATTORNEYS; P.O. Box 11, H-1251 Budapest (HU).

(22) International Filing Date: 17 October 2000 (17.10.2000)

(25) Filing Language: English

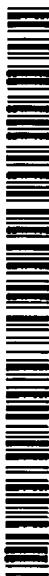
(26) Publication Language: English

(30) Priority Data:  
P9903634 18 October 1999 (18.10.1999) HU

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

(71) Applicant (for all designated States except US): EGIS GYÓGYSZERGYÁR RT. [HU/HU]; Keresztúri út 30-38, H-1106 Budapest (HU).

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).



(72) Inventors; and

## Published:

(75) Inventors/Applicants (for US only): GREFF, Zoltán [HU/HU]; Gyöngyvirág u. 8, H-1028 Budapest (HU). KÓTAY NAGY, Péter [HU/HU]; Nagymező u. 73, H-2600 Vác (HU). BARKÓCZY, József [HU/HU]; Szirom u. 4-6/B, H-1016 Budapest (HU). SIMIG, Gyula [HU/HU]; Hollósy S. u. 25, H-1126 Budapest (HU). BALÁZS, László [HU/HU]; Baross u. 38, H-1088 Budapest (HU). DOMÁN, Imre [HU/HU]; Mohács u. 18/B, H-1035 Budapest (HU). RÁTKAI, Zoltán [HU/HU]; Monori u. 19, H-1101 Budapest (HU). SERES, Péter [HU/HU]; Rádda Barnen u. 6, H-1153 Budapest (HU). SZENT KIRÁLLYI, Zsuzsa [HU/HU]; Túzlihom u. 51, H-1223

— With international search report.  
For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

WO 01/28999 A1

(54) Title: PROCESS FOR THE PREPARATION OF AMORPHOUS ATORVASTATIN CALCIUM

(57) Abstract: The invention relates to a process for the preparation of amorphous atorvastatin calcium by recrystallization of crude atorvastatin from an organic solvent which comprises dissolving crude amorphous atorvastatin calcium in a lower alkanol containing 2-4 carbon atoms or a mixture of such alkanols under heating and isolating the amorphous atorvastatin calcium precipitated after cooling. The atorvastatin calcium obtained is a known valuable agent useful in treating hyperlipidemia and hypercholesterolemia.

***Process for the preparation of amorphous  
atorvastatin calcium***

**Technical field**

The invention relates to an improved new process for the preparation of atorvastatin calcium.

**State of the art**

The calcium salt of  $[R-(R^x,R^x)]-2-(4\text{-fluorophenyl})-\beta,\delta\text{-dihydroxy-5-[1\text{-methyl-ethyl}-3\text{-phenyl-4-[(phenylamino)-carbonyl]-1H-pyrrole-1-heptanoic acid}}$  having the INN atorvastatin is an inhibitor of the 3-hydroxy-3-methylglutamine coenzyme A reductase enzyme. Due to this effect atorvastatin is a valuable lipide and cholesterol level decreasing agent and useful in treating hyperlipidemia and hypercholesterolemia. Atorvastatin was described the first time in US 5,273,995. In this US patent specification there is no disclosure concerning the crystalline form of the product. The preparation of atorvastatin and key intermediates useful in the synthesis are described at several places in prior art (e.g. US 5,003,080, US 5,097,045, US 5,103,024, US 5,124,482, US 5,149,837, US 5,155,251, US 5,216,174, US 5,245,047, US 5,248,793, US 5,280,126, US 5,397,792 and US 5,342,952).

The preparation of atorvastatin calcium in a defined crystalline form is first described in WO 97/03958.

In prior art four different polymorphs of atorvastatin calcium are disclosed. WO 97/03958 relates to crystalline Form III of atorvastatin calcium. According to this published

PCT application crystalline Form III is prepared by allowing to stand atorvastatin calcium containing crystalline Form II under a moisture content of 95 % for 11 days.

In WO 97/03959 crystalline Forms I, II and IV of atorvastatin calcium are claimed and disclosed.

According to the examples of this published PCT application crystalline Form I can be prepared in two ways. According to one of the processes the product is obtained by seeding with crystalline Form I. According to the other process a mixture of amorphous and crystalline Form I atorvastatin calcium is stirred in a 17:3 volume/volume mixture of water and methanol at 40°C for 17 hours.

According to the examples of WO 97/03959 crystalline Form II is prepared by suspending a mixture of amorphous and crystalline Form I atorvastatin calcium in a 20-fold amount of a 3:2 volume mixture of methanol and water and stirring the suspension for 3 days.

Crystalline form IV is prepared from atorvastatin lactone. According to the examples of WO 97/03959 the aqueous mixture obtained in course of the formation of the calcium salt of atorvastatin is heated at 65-70°C for at least 5 minutes, whereupon the mixture is cooled to 55-65°C. The precipitated crystals are filtered, stirred in methanol at 55-60°C, the suspension is cooled to 25-30°C and finally the crystalline Form IV is isolated by filtration.

Amorphous atorvastatin shows numerous advantages over the crystalline Form. According to prior art amorphous

atorvastatin calcium gives varying dissolution characteristics and in some cases varying bioavailability data are obtained as compared to the crystalline Form [Konno T., *Chem. Pharm. Bull.*, 38, 2003-2007 (1990)]. In some therapeutical indications certain bioavailability characteristics are more preferable than others. For this reason there is a need towards a process which enables the preparation of amorphous atorvastatin calcium.

In WO 97/03960 a new process is disclosed for the preparation of amorphous atorvastatin calcium starting from crystalline Form I. According to the main claim of this published international application crystalline Form I atorvastatin calcium is dissolved in a hydroxy-free solvent, whereupon the solvent is removed to yield amorphous atorvastatin. The sub-claims protect the use of tetrahydrofuran per se or a mixture of tetrahydrofuran and toluene as hydroxy-free solvent. According to the examples crystalline Form I is dissolved in an approximately four-fold amount of a 3:2 mixture of tetrahydrofuran and toluene, whereupon the solvent is removed by special drying technology. Drying is carried out in an apparatus manufactured specially for this purpose at first at 35°C, and thereupon at 85°C, in vacuo at 6-8 Hgmm for 4 days.

The disadvantage of the process disclosed in WO 97/03960 is that amorphous atorvastatin is prepared from a defined crystalline Form, namely from crystalline Form I. The preparation of this polymorph is disclosed in WO 97/03959. According to the teaching of this reference the process is

complicated and can be reproduced only with difficulties. On page 20 lines 14-19 the following statement is set forth:

"The precise conditions under which crystalline Form I atorvastatin is formed may be empirically determined and it is only possible to give a number of methods which may be found suitable in practice."

#### Summary of the invention

It is the object of the invention to eliminate the drawbacks of the known procedures and to provide a simple and economically feasible process for the preparation of high purity and uniformly amorphous atorvastatin calcium.

The above object is solved by the following process of the invention.

According to the invention there is provided a process for the preparation of amorphous atorvastatin calcium by recrystallization of crude atorvastatin from an organic solvent which comprises dissolving crude atorvastatin calcium in a lower alkanol containing 2-4 carbon atoms or a mixture of such alkanols under heating and isolating the amorphous atorvastatin calcium precipitated after cooling.

#### Detailed description of the invention

It has been surprisingly found that uniformly amorphous atorvastatin calcium can be obtained in a simple and reproducible manner by recrystallizing crude atorvastatin calcium from an alkanol containing 2-4 carbon atoms or a mixture of two or more such alkanols. The above recognition is so much the more surprising as according to the teaching of

WO 97/03960 exclusively hydroxy-free solvents are suitable for the preparation of amorphous atorvastatin.

According to the process of the present invention ethanol, *n*-propanol, isopropanol, *n*-butanol or branched-chain butanols can be used as alkanol containing 2-4 carbon atoms. It is preferred to use isopropanol or ethanol, or a mixture of isopropanol and ethanol. The process may also be carried out by using a mixture of two or more alkanols.

As starting material one may preferably use crude atorvastatin calcium, a product prepared according to US 5,273,995.

According to a preferred form of realization of the process of the present invention one may proceed as follows:

The starting material is dissolved in an alkanol containing 2-4 carbon atoms under heating, advantageously at the boiling point of the solvent. One may proceed preferably by filtering the solution, allowing the filtrate to cool to room temperature and allowing the suspension to stand in the cold. The precipitated amorphous atorvastatin calcium is isolated by filtration or centrifuging, washed with the cold alkanol containing 2-4 carbon atoms used for recrystallization and finally dried in vacuo. One may also work by filtering the hot solution into boiling C<sub>2-4</sub> alkanol and then proceeding as described above.

The process of the present invention can be performed in a short period of time. Depending on the amount of the starting material the reaction time amounts to some hours.

The process of the present invention has the following advantages:

- The process provides in a simple and reproducible manner uniformly high purity amorphous product having advantageous properties from the point of view of pharmaceutical industry.
- Amorphous atorvastatin calcium is obtained from crude atorvastatin which can be easily prepared rather than from circumstantially available crystalline Form I.
- The evaporation of the solvent and the circumstantial removal of the traces of solvents are eliminated. The desired product is isolated in a simple manner by filtration of the amorphous product precipitated on cooling the warm solution.
- As a result of the above advantages the process can be carried out in a short time by using simple equipment.
- The process is highly suitable for industrial scale manufacturing.
- The solvents used in the process are not detrimental to the environment.

Further details of the present invention are to be found in the following Examples without limiting the scope of protection to said Examples.

Example 1

2.74 g (2.37 millimoles) of crude atorvastatin calcium prepared according to Example 10 of US patent No. 5,273,995 are heated to boiling in 120 ml of 2-propanol until the material goes into solution. The hot solution thus obtained is filtered into 20 ml of boiling 2-propanol and allowed to cool to room temperature. The isopropanol suspension is allowed to stand at 4°C for 4 hours. The precipitated amorphous product is filtered off, washed with cold isopropanol (4°C) and dried at 55 Pa in vacuo at room temperature. 2.50 g of uniformly amorphous atorvastatin calcium are obtained. Yield 91.2 %.

The X-ray powder diffraction pattern of the product is shown on the enclosed Figure 1.

Apparatus: PHILIPS - PW 1820 powder diffractometer

Radiation: Cu K $\alpha$  ( $\lambda$ : 1,54190 Å)

Monochromator: graphite

Exciting voltage: 40 kV

Anode current: 30 mA

Sample: smooth surface, thickness 0,5 mm.

Measurement of the X-ray structure (X-ray diffraction) is based on the diffraction and interference of the electrons of the lattice atoms. The ordered, lattice structure characterizing crystalline materials is displayed by the reflexion (interference maxima) of the X-ray patterns. Owing to their disordered structure, amorphous materials do not display sharp peaks on the diffraction pattern, they are characterized only by flattened curves. With the use of X-ray diffraction one can therefore unambiguously verify the crystalline or amorphous state of a material.

The X-ray powder diffraction pattern of the crystalline atorvastatin is shown on the enclosed Figure 2.

Example 2

2.00 g (1.73 millimoles) of amorphous atorvastatin calcium salt are heated to boiling in 20 cm<sup>3</sup> of ethanol until the material goes into solution (approx. 1 minute). The hot solution obtained is filtered into 100 cm<sup>3</sup> of boiling 2-propanol and allowed to cool to room temperature, while the precipitation of the amorphous atorvastatin calcium salt begins. The suspension obtained is allowed to stand at 4°C for 4 hours, then filtered, washed with 5 cm<sup>3</sup> of 2-propanol (4°C) and dried at 55 Pa in vacuo at room temperature. Thus 1.74 g (87 %) of amorphous atorvastatin calcium salt are obtained.

**What we claim is,**

1. Process for the preparation of amorphous atorvastatin calcium by recrystallization of crude atorvastatin from an organic solvent which comprises dissolving crude amorphous atorvastatin calcium in a lower alkanol containing 2-4 carbon atoms or a mixture of such alkanols under heating and isolating the amorphous atorvastatin calcium precipitated after cooling.
2. Process according to Claim 1 which comprises using isopropanol or ethanol, or a mixture of isopropanol and ethanol as alkanol containing 2-4 carbon atoms.
3. Process according to Claim 1 or 2 which comprises dissolving the starting material in 2-propanol or in ethanol at the boiling point of the solvent.
4. Process according to any of Claims 1-3 which comprises cooling the solution and isolating the precipitated amorphous atorvastatin calcium by filtration or centrifuging.

Ref. No.	Sample Ident.	Date:	Wavelength	Tube KV mA	Shield mm	MoX1			
AI00016	amorphous G71368/0001	07.07.99	1.54190	Cu 40	30	0.02	4.5	35.0	53.2

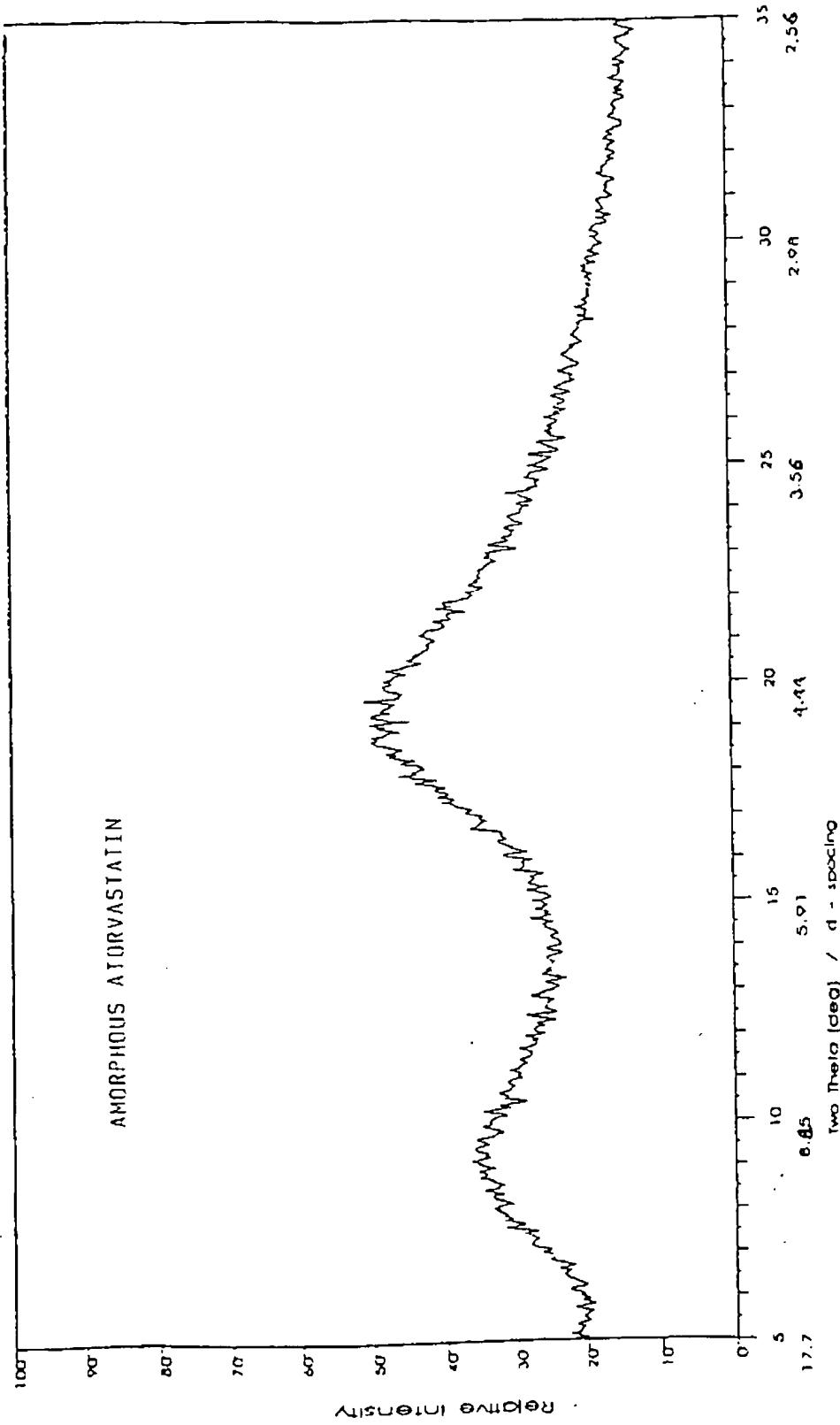


Fig. 1

SUBSTITUTE SHEET (RULE 26)

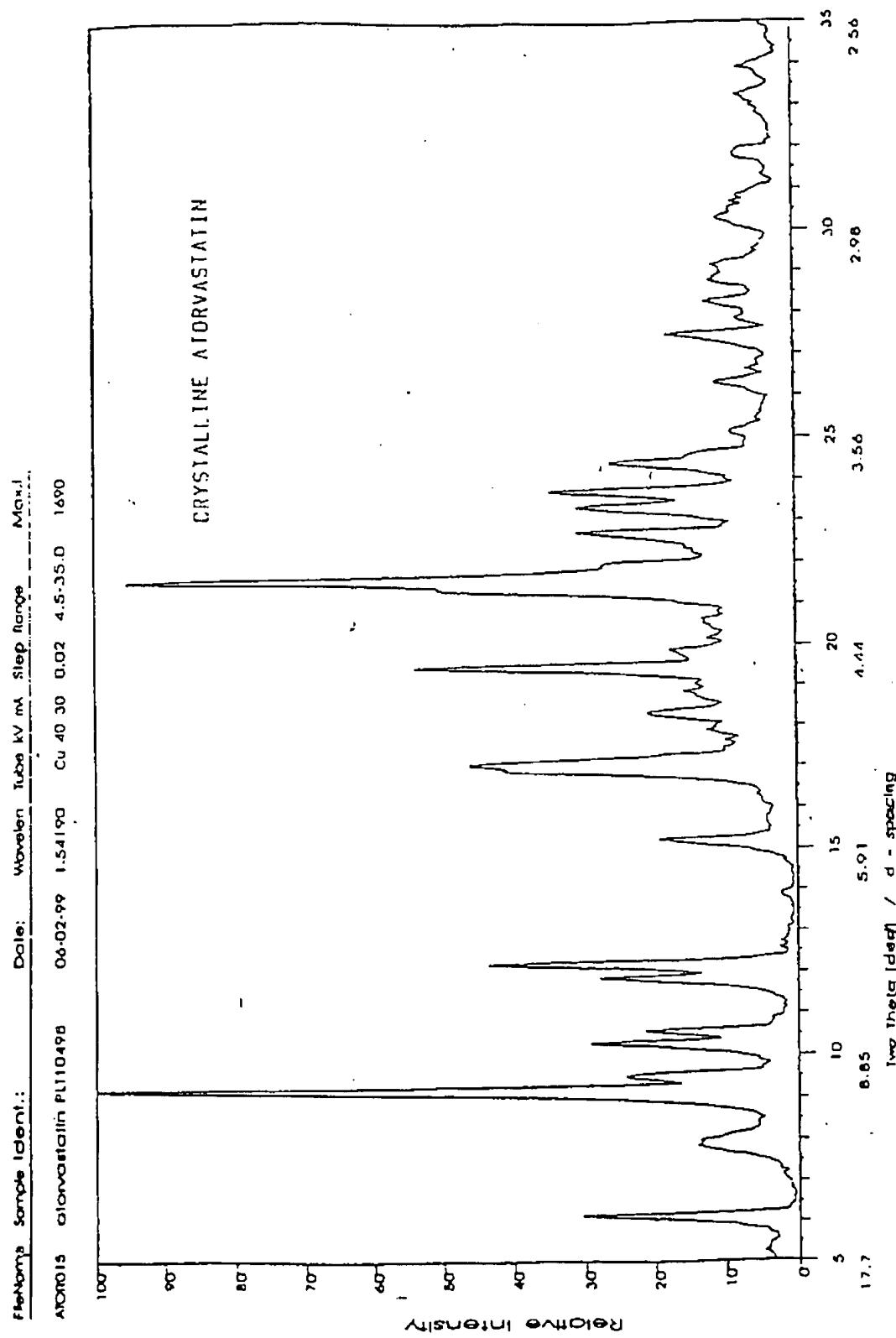


Fig. 2

# INTERNATIONAL SEARCH REPORT

Intern. Application No

PCT/HU 00/00106

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC 7 C07D207/34

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C07D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ, CHEM ABS Data

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 273 995 A (ROTH BRUCE D) 28 December 1993 (1993-12-28) cited in the application column 15, line 52 - line 55; example 10 -----	1-4
A	WO 97 03960 A (WARNER LAMBERT CO ;LIN MIN (US); SCHWEISS DIETER (US)) 6 February 1997 (1997-02-06) cited in the application page 2, line 26 - line 30; claim 1; example 2 -----	1-4



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

\* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&\* document member of the same patent family

Date of the actual completion of the international search

16 January 2001

Date of mailing of the international search report

29/01/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl  
Fax: (+31-70) 340-3016

Authorized officer

Seymour, L

# INTERNATIONAL SEARCH REPORT

Information on patent family members			Inte	nat Application No
			PCT/HU 00/00106	
Patent document cited in search report	Publication date	Patent family member(s)		Publication date
US 5273995	A 28-12-1993	MX 9203143 A AU 628198 B AU 5972490 A CA 2021546 A,C EP 1061073 A EP 0409281 A FI 94339 B IE 902659 A JP 3058967 A KR 167101 B NO 174709 B NO 176096 B NZ 234576 A PT 94778 A,B SG 46495 A ZA 9005742 A		01-07-1992 10-09-1992 24-01-1991 22-01-1991 20-12-2000 23-01-1991 15-05-1995 27-02-1991 14-03-1991 15-01-1999 14-03-1994 24-10-1994 23-12-1992 20-03-1991 20-02-1998 25-03-1992
WO 9703960	A 06-02-1997	AU 700794 B AU 6497896 A BG 102188 A BR 9609714 A CA 2220455 A CN 1190956 A CZ 9800122 A EP 0839132 A HR 960312 A IL 122161 A JP 11510486 T NO 980209 A PL 324463 A SK 5898 A		14-01-1999 18-02-1997 31-08-1998 23-02-1999 06-02-1997 19-08-1998 16-12-1998 06-05-1998 28-02-1998 14-07-1999 14-09-1999 16-01-1998 25-05-1998 05-08-1998